

1921 Gallows Road, 3rd Floor Tysons Corner, Virginia 22182 p: 703-962-9800 f: 703-962-9850 www.nodalexchange.com

November 1, 2018

VIA CFTC PORTAL

Commodity Futures Trading Commission Attention: Chris Kirkpatrick, Secretary Three Lafayette Centre 1155 21<sup>st</sup> Street, NW Washington, DC 20581

## Re: CFTC Regulation 40.6(a) Certification: Fee Schedules for Environmental Products

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission ("Commission" or "CFTC") Regulation 40.6(a), Nodal Clear, LLC ("Nodal Clear") hereby notifies the Commission that it plans to publish futures and options fee schedules ("Fee Schedules") that apply to Carbon Emission Allowances, CSAPR SO2/NOX Emission Allowances, and Renewable Energy Certificates ("Environmental Products") cleared at Nodal Clear. The Fee Schedules shall become effective on November 16, 2018. Attached hereto are the Fee Schedules that will be applicable to Environmental Products cleared at Nodal Clear.<sup>1</sup>

Nodal Clear management has assessed the Fee Schedules and their compliance with applicable provisions of the Commodity Exchange Act ("CEA"), including the Commission's Regulations and DCO Core Principles. Nodal Clear has identified that the Fee Schedules may have some bearing on the following Core Principles:

<u>Core Principle B - Financial Resources</u>: The Fee Schedules will not impact Nodal Clear's ability to discharge its financial, operational, or managerial responsibilities as a DCO.

<u>Core Principle L - Public Information</u>: As required by Core Principle L, Nodal Clear is publicly posting this selfcertification letter on its website to ensure that market participants receive advance notice of the Fee Schedules. On the effective date of the Fee Schedules, the Fee Schedules will be posted on the Nodal Clear website.

Pursuant to Section 5c(c)(1) of the CEA and the Commission's Regulation 40.6(a), Nodal Clear certifies that the Fee Schedules set forth in the attachments comply with the CEA and the Commission's Regulations thereunder. Nodal Clear is not aware of any opposing views expressed regarding the Fee Schedules. Nodal Clear certifies that this submission has been concurrently posted on the Nodal Clear website at <u>www.nodalclear.com</u>.

If you have any questions or need additional information regarding this submission, please contact me at 703-962-9864 or <u>alvarez@nodalexchange.com</u>.

Sincerely,

/s/Cody Alvarez

Cody Alvarez Chief Compliance Officer Corporate Counsel

Attachments:

Environmental Futures Fee Schedule Environmental Options Fee Schedule

<sup>&</sup>lt;sup>1</sup> Nodal Exchange, LLC is submitting a separate filing for exchange fees for Environmental Products. Such fees are also reflected in the attachments.